# Effing the Ineffable (2021) - Notes

Schwartz Reisman - March 17, 2021

# I.Intro

- A. History
  - 1. I needn't say, here, that recent progress in machine learning has revolutionized AI, and also raised challenges and issues.
  - 2. This seminar series has been a great venue in which to discuss the potentials and challenges raised by these systems.
- B. Data-focused
  - 1. Though I haven't been to all the seminars, I believe it fair to say that the technical analyses of these systems have largely been carried on in terms of the data, representations, and algorithms we use to process them.
  - 2. I.e., as depicted in this image ( < 02)
  - 3. That doesn't mean that the *concerns* people have brought to this seminar are entirely "data internal"
    - a. The data & information are assumed to *represent some world or task domain* ( < 03)
    - b. When we label the data, for training purposes, we base the labels on our understanding of what it is (in the world) that we think the data we are looking at represents (◆ 04)
      - i. We think this is a representation of a panda, this is a representation of pig, etc.
      - ii. And that this is not a representation of a gibbon (whereas this is), not a representation of airplane, and so on.
    - c. Similarly, understand bias, fairness, equity, etc., in terms of the people, society, medical practices, etc., that the data are about (♦ 05)
    - d. Evaluation criteria and success rates are assessed in terms of measures on internal states and results, they are implicitly interpreted in terms of what is true of, or works in, the domains they represent.
    - e. Insensitive Alexa responses, sexism and racism, etc. are implicitly referenced against our background understand of the world or worlds that the data represents.
  - 4. Nevertheless, the analyses we have heard here are framed in terms of the data itself (e.g., against distributions of identified categories of words) (♦ 06)
- C. World
  - What I am concerned with is with the relation between the networks, the data and representations encoded in the networks, the algorithms that run over them, etc., and the worlds that they represent. (♦ 07)
  - 2. Now many of the worlds that we train our networks on, and use them for, are *them*-*selves representational*.
    - a. X-ray, images of house numbers, maps, etc. ( > 08)
    - b. Even more are *words*—Twitter feeds ( < 09)
    - c. Or word-like, such as data sets that AI runs over are lexical in origin: data bases with explicit categories (age, sex, gender, nationality, blood type AB, price, in stock or not, etc.) (◆ 10)

- 3. We will get to those later
- 4. I want to start concretely
  - a. With the ordinary physical world (+ 11)
  - b. I.e., want to understand what is going in AI systems interacting with, and directly connected to, the world
  - c. Not mediated by our understanding of our understanding of the data ...
- 5. I.e., start with cases of perception ( 12)
- 6. What is the relation between "input data" (e.g., pixel arrays on cameras, microphone samples for audio, etc.), the patterns and configurations of data that result from its processing in the machine, and the world that that data represents?
- D.  $\langle$  That was intro ( $\diamond$  13)  $\rangle$

# **II.Classical View**

- A. Start with the world (we will talk about its representation in a bit)
- B. Classical view
  - 1. In the first decades of AI—the 1960s and 1970s, back when I was a student in the MIT AI Lab—it was assumed that the world was relatively clear-cut
    - **a.** An assumption that the world consists of **objects** of various types or kinds, manifesting various **properties**, and standing in various **relations** 
      - i. Also sets and collections (

        group of houses)
      - ii. Also states of affairs ( the house being on top of the hill)
      - iii. Also **abstract objects**, such as numbers, those properties and relationships themselves, in the abstract, etc.
    - b. I will call this the classical view.
      - i. Basis of formal logic
      - ii. Also: models of reasoning, set theory, formal semantics, etc.
  - 2. The classical view underlay all the initial efforts in ai
    - a. But still, this was assumed sufficient for AI
      - i. (•) KR (conceptual dependency, semantic nets, etc.
      - ii. (♦) SHRDLU
    - b. Robotics: made the world to fit the model! ( Shakey)
    - c. More than that: it was assumed that the classical model was correct
      - i. That is what the world consists of: objects, properties, etc.
      - ii. So SHRDLU and SHAKEY were just simple versions.
- C. Challenges
  - 1. The classical view didn't really work out
  - 2. Here is a more realistic image of an almost-empty room (cabin) ( $\diamond$  21 cabin)
  - 3. History
    - a. This was the time (mid-1970s) that digital cameras and digitizing imagers were just starting to be built (very first digital camera was released)
      - i. (•) Steve Sasson, Kodak, 8 lb, 0.01 megapixels<sup>1</sup>
    - b. When people first looked at the data coming out of these imagers, they were

<sup>1</sup>https://www.diyphotography.net/worlds-first-digital-camera-introduced-man-invented/

flabbergasted by how *messy* the data looked

- D. What is going on here?
  - 1. Now as we all know, you can train a DL to "recognize" this picture
    - a. E.g., draw a box around the people, for example
    - b. The auto-focus algorithms on your camera—or the bounding boxes around people and cars and such in the videos showing what driverless cars are "seeing"
  - 2. But how do we understand this?

# III.World

- A. There are two possibilities
- B. Naïve
  - 1. On the first option—which I will call the **naïve** option—the classical view is assumed still to be correct
    - a. There *is* a person there—or, rather, two people—and two windows (though you can see a third one in the mirror), and a table or bench or something (which is it?).
    - *b.* Any "messiness" in the incoming data merely reflects *uncertainty about the dataworld relation*
    - c. We encode that with *probabilities*—probabilities that some way of classifying it is "correct"—or the one we want, or something like that.
  - 2. This is the view that underlies our characterization of the problems with adversarial examples
    - a. (•) Panda/gibbon
    - b. (•) Macaw/bookcase
    - c. In such cases it is probably correct
  - 3. This view also underlies the characterization of *certainty* of results, or *confidence in* 
    - a. The world, we might say, is assumed to be "completely certain"
      - *i*. Or rather, since it doesn't make sense to talk about the world's being certain (certainty is only something you can say about knowledge of [or data about] the world), the world is *completely determinate*
    - b. Now in one sense the world *is* completely determinate (pace quantum mechanics): it *absolutely is what it is* 
      - i. It is "complete in its being," or however one wants to put such things.
  - 4. But—and this is going to be important—what that is assumed to mean, in this context, is that the world is 100% determinate at the level at which it contains discrete objects, exemplifying determinate properties.
- C. Constructivism
  - 1. But there is another interpretation—a second view about what is going on.
  - 2. It addresses a variety of challenges that have been raised to the classical view
  - 3. Properties
    - a. Determinacy/definitions
      - *i*. Take the property of being a *chair*
      - ii. As many people have pointed out, it is not clear that there is single thing that characterizes all chairs as chair

- iii. (Lots of pictures)
- iv. Wittgenstein: family resemblances
- b. Affordances
  - i. J J Gibson: a chair is what *affords sitting*.
    - a. Keep this in mind; because what is sitting? [
      mention later]
- c. Context
  - i. Context: log chair
- d. Boundaries of applicability
  - i. Large bean-bag chair
- e. Boundaries between and among properties themselves (not sharp-edged)
  i. Egoistic, egotistic, pride, self-confidence, braggadocio, boastful, cocky, uppity, snooty, high-minded, pompous, ...
- 4. Relationships-even worse!
  - a. "Next to" ("small," "complicated," etc.)
  - b. (φ thinks of them as *vague*—but I don't think that is the right category)
- 5. Objects, too
  - a. Also contextual (example?)
  - b. Also not sharp-edged
    - i. Cf. the table in that workroom
      - a. Does it have a back, which is formed by the wall? Or does it *not* have a back, and instead is resting on the wall?
      - b. Who cares?
      - c. TDepends on what is at stake ... move the table to the middle of the room?
      - d. "It is attached to the wall"
      - e. "Can you unattach it?"
      - f. "No, because the wall *forms* part of the table-forms the back"
  - c. So too with mereology:
    - i. Cf. relations between seat and arm; where does one start, other end?
  - d. Identity
    - i. Washington's axe
    - ii. Ship of Theseus
  - e. Objectification
    - i. Clouds (story about camping and you look out of the tent)
    - ii. Fog ("Weather 'it' ": foggy, raining, etc.)
  - f. Features
    - i. "It's Mommy!" vs. "It's Mommy-ing again!"
- 6. Constructivism
  - a. Considerations of this sort have led to what is sometimes called constructivism
    - *i.* Properties don't exist or hold independent of us; Rather: *relative to our interests* ii. *Objects* relative to our interests, too.
- D. These considerations suggest a different view of what our networks are doing (or need to do)
  - 1. Rather than determine what objects and properties there are out there"

- 2. Instead the task is to *find the world intelligible* in terms of relevant abstractions and idealizations
  - a. In some sense, to impose some order on the booming buzzing confusion.
  - b. But that is not right; there is order, or variety, or anyway stupefying richness, out there.
  - c. It is a question of organizing it, finding patterns, and interpreting the world in terms of those patterns.
  - d. Perhaps by doing cluster analysis on the perceptual array of real-number-valued high-dimensional vectors
- 3. But—and this is critical thing—finding those patterns, clustering that data, does not mean losing the richness.
- E. Some evidence
  - 1. A critic might say
    - a. "Of course there are objects and properties out there"

b.

- 2. But there is a problem
  - a. You have *already processed* that picture, using exactly the sorts of processes that we are trying to understand!
- 3. Leads to a question: what is the world like "prior to that processing?"
  - a. Show Adam's picture
  - b. Analogy: Georgian Bay

# **IV.Registration**

- A. We need some vocabulary
- B. Constructivism/Realism
  - 1. For decades a debate has raged between
    - a. What is called "realism", which takes the structure of the world to be out there, independent of us; and
    - b. **Constructivism**, which argues that, rather than being independent of us , objects and properties are human constructs which reflect our cultures and societies and interests and such.
  - 2. I think that way of framing the debate is fatal—never going to be resolved.
  - 3. Chair
    - a. Suppose a network "sees" a chair
    - b. Has it "seen a chair out there", independent of us?
    - c. In one sense the answer is yes
      - i. There it that, out there—a patch of reality—which affords sitting
      - ii. Even if I don't see it, if I sit down, it will support me
    - d. In one sense the answer is no
      - i. There is no *chair out there*, qua chair
    - e. As I have already suggested, and what DL shows, is that the chair, or the concept chair, or something like that, is an abstraction or "construction"—a coarse graining—of what is out there, where "what it is out there" is...
      - i. The chair is not the coarse-graining, of course-or the abstraction, or the ide-

alization itself—but that patch of the world which has been coarse-grainedly categorized.

- ii. Moreover, the chair isn't "the world as coarse-grained"
- iii. The chair isn't has been coarse-grained. If there is a spike that is ignored by the coarse-graining, in its classification of the chair as a chair, and I sit down on it, I will sit down on the spike, and it won't help me to say "the spike isn't part of the chair, per se, because the abstraction according to which it has been classified as a chair ignores it."
- f. Rather, we want to say something like this:
  - i. The chair is that non-idealized patch of reality, in its fullness, which warrants the idealized or coarse-grainedly classification *as* a chair,
  - ii. I.e., it is that patch of reality (out there) which allows the system to take it (in virtue of our interests and projects and practices and such) to be a chair (out there!)
- g. But that is an awkward way to talk
  - i. Randy: "What kind of furniture did they give you for your new office?"
  - ii. Pat: "That which, in virtue of my cultural embedding, projects, and purposes, I take to be that which I classify using the English word 'chair'"
  - iii. Randy: "You need to get a life!"
- 4. Story ... Zen master and the acolyte
- C. So here is how I think we need to talk.
  - 1. I will say that I register something (a chair, a storfm)
  - 2. (xx xx Raymond Carver)
    - a. What we refer to, think about, are talking about
  - 3. The world: a surpassingly and ineffably rich plenum, which we register in ways that allow us to find it intelligible, with respect to our projects and purposes...
- D. Not a middle ground *between* realism and constructivism, but an approach that incorporates what is best about, both realism and constructivism.
- E. One more example
  - 1. When we register, we refer
    - a. To the patch of the world (in-its-fullness) that we register
    - *b.* Not to the *world* as registered.
  - 2. ... Mondrian...
  - 3.

# V.Effability

- A. Intro
  - 1. OK, almost ready to get to the subject of the talk
  - 2. Just two more preliminary points.
  - 3. Compositionality
    - a. I haven't yet what our conceptual categories—those abstractions and idealizations, those information-losing coarse-grained clusterings, with which words are associated—are *for*.
    - b. There are three obvious (and related) potential answers:

- i. COMPOSITIONALITY: these properties, types, and concepts (and the words we use to express them) support a kind of recursive compositionality we know from grammar:
  - a. "The infinite use of finite means"—as von Humboldt<sup>2</sup> put it
  - b. So while they abstract away from a stupendous amount of detail "below" them, they nevertheless support staggering levels of complexity "above" them—in recursively-constituted composite forms.
  - c. So they allow finite communication.
  - d. We don't have USB-C plugs in our spines, with GHz transmitters and receivers, capable of sharing big swaths or swatches of our slow but massively complex brain states.
- ii. ABSTRACTION: It turns out that there are relatively high-level generalizations high-level regularities—that the world sustains. Even if we don't know exactly how situation A falls under concept  $\alpha$ , and how situation B falls under concept  $\beta$ , in many cases it is nevertheless overwhelmingly likely that there will be (or will follow, or whatever) another situation G that falls under concept  $\gamma$ .
  - a. Even without knowing the configurational details of the leaves of a tree that is on fire, and can't predict the fine-structure of the swirls of smoke that result, we can, in general, say—and know—that "fire produces smoke."
  - b. Or maybe it doesn't "turn out" that there are such regularities: maybe the only regularities that we can register are those with these high-level structures.
    - This is a serious suggestion...
- *iii*.LEARNING: Not only are these high-level regularities, and their ability to be expressed, rely on the detail-shedding abstractions, it is only if one sheds all those details that the concepts can be *learned*.
  - a. That is one reason why intermediate layers cannot be too big: the appropriate generalizations only "hold"
- 4. On the other hand
  - a. It is clear that the complexity that can be represented by our networks is vastly greater than that that can be expressed
  - b. Our networks can represent millions or billions of real-valued vectors
     i. GPT3 is 175 billion parameters
  - c. ...

B. Effing

- 1. Intro
  - a. "Effable" means "able to be described in words"
  - b. From Latin *effābilis*, from *ef-fāri*, to utter, from *ex* (out) + *fāri* (to speak).
- 2. Non-conceptual content
  - a. Suppose, while driving in Paris, I suddenly go blind.
    - i. "Hey, I can't see any more," I tell the passenger beside me.
    - ii. "But I like driving. Just tell me what to do, and I'll control the vehicle."

<sup>&</sup>lt;sup>2</sup>Wilhelm von Humboldt, *Introduction to General Linguistics*, 1836.

# b. Another example

- i. Adrian, police: "Do you know how fast you were going?", says the police.
- ii. "In one way yes; I knew how far over to lean; how to stay on the inside of the curve, etc.
- iii. "In another way, no-e.g., how many miles per hour"
- c. More
  - i. Evans: footstep in the night...
  - ii. "Hit your second tennis serve at the speed paper shoots out of the big copier at work."
- d. This is called non-conceptual content in philosophy

# Relations

Mix effable and uneffable "Do you see those deer about to leap out across the road?" someone could say; or "Be careful; the road is slick." But just how slick—one can know, but one cannot say.

If one sees a soccer ball come flying out past a hedge and go across the road, and one abruptly slows down, knowing that where goes an errant ball, a child is sure to follow.

Now suppose, while looking out in good light, I register a chair

Perhaps  $\langle \dots \text{ do I have a chair I want to focus on? The wooden log? } \dots \rangle$ 

Let's suppose, reasonably, that I take in a lot of the visual detail. And suppose that visual input is put through network algorithm to classify it—and 'chair' comes out best.

But the resultant state—even the state that was selected as "highest probability"—will likely have a huge amount of information in it above and beyond its winning at that particular contest. Action will require those fine-grained details

When we register an object as an object, does that mean that we shave off all the details, package it up in brown paper box, so that it ends up in as one indistinguishable chair—or as a box tagged with labels from all the properties or types we deemed it to deserve? No. DL shows the way.

If I am going to remember that chair—why would I need to throw away all that detail? Morals that I want to convey

What I *understand* may be vastly richer that its articulation in words

Think of the affordance of the chair: that it "offers sitting upon".

That may be linked, via complex webs of connection strength, to routines for sitting—routines that in turn are tied into my physical motor capacities, etc.

Or think of the word 'laugh'

If I say 'laugh,' *and you are someone who has laughed*, chances are that that word (the token of the word) will cause the deployment in you of a richly complex vector with, again, implicit ties into emotional states, into muscular patterns, etc.

If *Alexa* says 'laugh,' there is no reason to suppose that its pattern of activations will have any such web of connectivity

It might have webs of connectivity with other *words*—but not with the activity of laughing, or with emotional states that have engendered laughter, etc.

Cf. those horrifying Alexa responses that « ... » showed two weeks ago, "Remind me to kill myself"  $\Rightarrow$  "I'll put it on your schedule"

So conclusion #1

One thing that DL has shown us is that "expressed in words" doesn't mean is captured merely in the word used and in its relations to other words. [■]

But what *is it* for something to be describable in words?

**É** Miscellaneous

Settling a lump into a variegated landscape (...)































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#### 1. Naive realism

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- a) Assumption that the classical view (objects, properties, relations, etc.) is correct
- i) Messiness in the data reflects uncertainty about the situation
- ii) Measured in terms of confidence or probabilities
- b) This is the view that we use to analyze adversarial examples (panda, macaw, ...)
- c) An assumption that the ontology of the world is completely determinate
  - i) Not just at some fundamental or underlying level
  - ii) But at the level at which it contains discrete objects, exemplifying determinate properties

#### 2. Pure constructivism

- a) Objects and properties don't exist independently of us
- b) They are relative to our interests, perspectives, and projects
- c) Deals with a variety of challenges to the classical view



#### Effing the Ineffable

III — World

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## Two ways to understand DL "recognizing" the cabin

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# **Criticisms of the Classical View**

#### 1. Properties and types

- a) Determinacy
  - No single property or feature, or group of them, defines a category or type
  - Instances of a property (type, class) at best share **family resemblances** (Wittgenstein)
  - E.g., chairs... (•)

#### b) Affordances

- Chairs are medium-scale objects that afford sitting (|. |. Gibson)
- c) Context
  - What properties objects exhibit—what type they are—may be affected by **context** (•)
- d) Boundaries
  - Chair vs. bed (•)

#### e) Boundaries

• Egotist, egoist, proud, arrogant, self-confident, braggadocious, boastful, cocky, uppity, snooty, high-minded, pompous ...

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• E.g., chairs... (•)

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# Criticisms of the Classical View (cont'd)

#### 2. Objects

- a) All the issues about properties apply to objects as well
- b) E.g., the table in the cabin picture (•)
  - Does it have a back?
  - Or does it not have a back, and is resting on the wall?
  - Or does it have a back, which is formed by the wall?
  - (And who the hell cares? Depends on what one wants...e.g., to move it?)
- c) Mereology
  - Where does the arm end, and the leg begin? (•)
- d) Identity
  - Washington's axe
  - Ship of Theseus (•)
- e) Objectification
  - Clouds (•)
  - Fog
  - "It's mommy-ing again!"

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# Criticisms of the Classical View (cont'd)

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#### III — World

# Criticisms of the Classical View (cont'd)

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  - Fog
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Ship of Theseus





IV — Registration

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**Potential Criticism** 

1. Someone (with realist sensibilities) might object

- a) "Of course there are objects and properties out there"
- b) "You can see them perfectly clearly in the room of the cabin"
- 2. But that argument makes a mistake ...







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IV — Registration

You just processed this image using a neuronal device comprising 100 billion elements with 100 trillion interconnections honed for this explicit purpose over 500 million years of evolution!



What does the world look like, before all that processing?

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IV — Registration

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You just processed this image using that same 100 billion element neuronal device!



The artist knew that!



# Delegistration

An island in Georgian Bay

Our concepts and words ...



The fine-grained detail "below the words"

Registration
 1. I will say that a system (person or machine) registers the world—registers the surpassingly rich plenum that it encounters—by finding it intelligible:

 a) Classifying it in terms of coarse-grained, non-absolute patterns and abstractions, consistent with our interests,

IV — Registration

consister

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Both

- b) While at the same time **not losing any of the underlying richness**
- 2. Examples
  - a) Register a chair ...
  - b) Register the *length of the wall* between the sofa and the door ...
  - c) Register a Mondrian painting ...





2. Abstraction

#### a) It turns out that the world sustains relatively stable high-level generalizations and regularities that can only be framed by discarding much of the massively complex finely structured details of the world "underneath" them

- b) Or maybe it doesn't "turn out" this way—maybe these are the only high-level regularities that we can register!
- 3. Learning

 a) Only if one sheds the underlying detail can these regularities—and the words we use for them—be learned

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	Introduction		
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Effing the Ineffable V — Effability 2021 · Mar · 17 The Ineffable Nevertheless, a great deal of our understanding relies on the **sub-conceptual** details that anchor our registrations in the world— ineffable fine details that we cannot express in words 1. Rush hour in New York City a) Driv<u>er:</u> "Oops! I've just been struck blind. But it's OK. I'll keep driving. Just tell me what to do." b) Passenger: "Eeek!" 2. Footstep in your study late at night a) You know exactly where the sound came from b) But you don't know in terms of feet & inches, degrees, or any other concepts 3. Taste: The differences between the tastes of nutmeg, cinnamon, and allspice 4. Tennis a) It doesn't help to say: "Hit your second serve at the speed that pieces of paper come out of that big office copier down the hall" 5. Motorcycle a) Police: "Do you know how fast you were going?" b) Rider: "In one way yes; in one way no"

These sub-conceptual details can be encoded in complex values of high-dimensional vectors

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think about this!

V — Effability

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#### Morals

#### 1. Ineffable

- a) The world itself is incomparable rich—vastly more than can be "captured" in words, or that can be finitely represented at all...
- b) We understand the world in ways that, though undoubtedly less rich than the world itself, are still vastly more complex than can be articulated ("effed") in words

#### 2. Effable

- a) In order to track and understand high-level regularities, in order to categorize and classify, in order to learn, and in order to talk, we register the world in terms of much higher-level
- (coarse-grained) concepts and categories

#### 3. You might think

- a) That this would result in a two-level system
  - i) At the low level-for perception and action-we rely on the subconceptual richness
  - ii) At the high level—for rational inference, language, and communication—we take refuge in effable concepts and categories, which work in ways roughly similar to the classical view (underlying logic, GOFAI, traditional linguistics, etc.)
- b) Attempts to develop "explainable AI" may rely on something like this picture

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### Morals (cont'd)

#### 4. But it is not so!

- a) As we have seen, even when we register something in terms of a concept, we don't let go of the fine-grained (subconceptual) detail
- b) Hilary "Let's buy that sofa"
   Jordan "No. Just think about it. It won't fit between the piano and the door."

#### 5. Even more interestingly

- a) Although, in order to categorize, we have to be **able** to let go of the particular details of the patch of the world we are registering, we do not have to let go of (ineffable) details that apply across the *category as a whole*
- b) Go back to chairs
- c) All chairs need to afford sitting
- d) That means that registering something as a chair may
  - i) Require abstracting away from the details of various different kinds of chair
  - ii) It may still include a (relatively general, but still ineffable) representation of the act of sitting—something we all do, but don't know how to give a conceptual account of

